

CEO Climate Dialogue Antitrust Statement

The CEO Climate Dialogue (CCD) assigns the highest priority to full compliance with both the letter and the spirit of the antitrust laws and all meetings and activities of the CCD shall be conducted in a manner consistent with the following policy.

The antitrust laws prohibit agreements and concerted practices that unreasonably restrain competition. Examples of particular types of agreements that may violate the antitrust laws are those that attempt to fix or stabilize prices, to allocate territories or customers, to limit production or sales, or to limit product quality and service competition. It is important to bear in mind that those in attendance at CCD meetings and activities may include competitors, as well as potential competitors. As such, any discussion of topics that may raise antitrust concerns as between competitors should be avoided at all times before, during, and after any CCD meeting or other CCD related activity absent further review by antitrust counsel. This includes discussions regarding:

- The price (or any aspect of price) of any product or service sold by any member of the CEO Climate Dialogue in competition with any other member;
- Allocation or division of customers, employees, markets, territories, projects or products;
- Any effort to boycott refuse to deal with or exclude any supplier, customer or competitor;
- Any effort to limit output or any aspect of product quality or service; and
- Any competitively sensitive, non-public information including those related to current or future prices, costs, profits, customer or supplier terms and conditions, production or output decisions, and sales, marketing or R&D efforts.

If at any time during the course of a meeting or other activity, CCD staff or member attendees believes that a sensitive topic under the antitrust laws is being discussed, or is about to be discussed, they will bring that to the attention of the group and the facilitator will halt further discussion until the issue can be reviewed with antitrust counsel.